



# MANAPPURAM<sup>®</sup> FINANCE LIMITED

Make Life Easy

Ref: Sec/SE/858/19-20  
11<sup>th</sup> February 2020

<b>BSE Limited</b> <b>Phiroze Jeejeebhoy Towers</b> <b>Dalal Street</b> <b>Mumbai- 400001</b> <b>Scrip Code: 531213</b>	<b>National Stock Exchange of India Limited</b> <b>5th Floor, Exchange Plaza</b> <b>Bandra (East)</b> <b>Mumbai – 400 051</b> <b>Scrip Code: MANAPPURAM</b>
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Dear Sir/Madam,

We are attaching herewith report for the violations related to the code of conduct under SEBI (PIT) Regulations, 2015.

Request you to kindly take the same on your record.

Thanking you.

**Yours Faithfully,**

**For Manappuram Finance Limited**

**Manojkumar V.R**  
**Company Secretary**

**India's First Listed and Highest Credit Rated Gold Loan Company**

**Registered & Corporate Office :** (CIN-L65910KL1992PLC006623) IV/470A (old) W638A(New), Manappuram House, Valapad, Thrissur, Kerala - 680 567, India  
Tel : 0487 - 3050100, 3050108 Fax : 0487 - 2399298 E mail : mail@manappuram.com Website : www.manappuram.com



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## Report by Manappuram Finance Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

SR No.	Particulars	Details
1.	Name of the listed company/ Intermediary/ Fiduciary	Manappuram Finance Limited
2.	Please tick appropriate check box Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3.	Name of the Designated Person (DP) Name of the immediate relative of DP if reporting is for immediate relative.	Mr. Rajapu Chandrashekhara Rao
4.	PAN of the DP PAN of the immediate relative of DP if reporting is for immediate relative.	AVIPR4742B
5.	Designation of DP	Regional Manager-Other Vertical
6.	Functional Role of DP	Asst. Gen. Mgr. Regional Manager-Other Vertical MSME-South
7.	Whether DP is Promoter/ Promoter Group/ holding CXO level position (e.g. CEO, CFO, CTO etc.)	No
8.	<b>Transaction details:</b>	
	d) Name of the scrip	MANAPPURAM FINANCE LIMITED
	b) No of shares traded (which includes pledge) and value	Sold 4000 shares during the trading window closure period.
9.	<b>In case value of trade(s) is more than Rs 10 lacs in a calendar quarter-</b>	
	a) Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable
	b) Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable

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10.	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Trading on shares of the company during the period of window closure
11.	Action taken by Listed company/Intermediary/ Fiduciary	Issued Warning letter as per the code under regulation 9 of SEBI(PIT)Regulations,2015
12.	Reasons recorded in writing for taking action stated above	Being the first time offence, warning letter was issued letter as per the code under regulation 9 of SEBI(PIT)Regulations,2015
13.	Details of the previous instances of violations, if any, since last financial year.	Not Applicable
14.	Any other relevant information.	Nil

Yours faithfully,

**Manojkumar V.R**

**Company Secretary & Compliance Officer**

**E-mail:cs@manappuram.com**

**Mobile Number: 9946239999**

**PAN:AIHPM3138Q**



**Date : 11-02-2020**

**Place : Valapad**

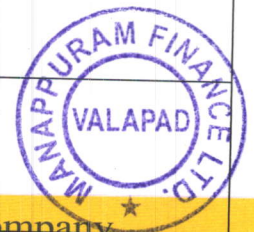


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2.	Please tick appropriate check box Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3.	Name of the Designated Person (DP)  Name of the immediate relative of DP if reporting is for immediate relative.	Mr. Hareesh Kumar.P.V
4.	PAN of the DP  PAN of the immediate relative of DP if reporting is for immediate relative.	AFDPH6694H
5.	Designation of DP	DY. GEN. MGR.
6.	Functional Role of DP	DY. GEN. MGR Loan to Consumer Durables
7.	Whether DP is Promoter/ Promoter Group/ holding CXO level position (e.g. CEO, CFO, CTO etc.)	NO
8.	<b>Transaction details:</b>	
	c) Name of the scrip	MANAPPURAM FINANCE LIMITED
	b) No of shares traded (which includes pledge) and value	Sold 500 shares during the trading window closure period
9.	<b>In case value of trade(s) is more than Rs 10 lacs in a calendar quarter-</b>	
	a) Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable
	b) Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of	Not Applicable



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	SEBI (Prohibition of Insider Trading) Regulations, 2015	
10.	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Trading on shares of the company during the period of window closure
11.	Action taken by Listed company/Intermediary/Fiduciary	Issued Warning letter as per the code under regulation 9 of SEBI(PIT)Regulations,2015
12.	Reasons recorded in writing for taking action stated above	Being the first time offence, warning letter was issued letter as per the code under regulation 9 of SEBI(PIT)Regulations,2015
13.	Details of the previous instances of violations, if any, since last financial year.	Not Applicable
14.	Any other relevant information.	Nil

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3.	Name of the Designated Person (DP)  Name of the immediate relative of DP if reporting is for immediate relative.	Mr. Bimal Drbnath
4.	PAN of the DP  PAN of the immediate relative of DP if reporting is for immediate relative.	BJTPD6076N
5.	Designation of DP	ASST MANAGER
6.	Functional Role of DP	ASST Manager Internal Audit
7.	Whether DP is Promoter/ Promoter Group/ holding CXO level position (e.g. CEO, CFO, CTO etc.)	No
8.	<b>Transaction details:</b>	
	b) Name of the scrip	MANAPPURAM FINANCE LIMITED
	b) No of shares traded (which includes pledge) and value	Purchased 18 shares during the trading window closure period
9.	<b>In case value of trade(s) is more than Rs 10 lacs in a calendar quarter-</b>  a) Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable



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	b) Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable
10.	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Trading on shares of the company during the period of window closure
11.	Action taken by Listed company/Intermediary/Fiduciary	Issued Warning letter as per the code under regulation 9 of SEBI(PIT)Regulations,2015
12.	Reasons recorded in writing for taking action stated above	Being the first time offence, warning letter was issued letter as per the code under regulation 9 of SEBI(PIT)Regulations,2015
13.	Details of the previous instances of violations, if any, since last financial year.	Not Applicable
14.	Any other relevant information.	Nil

Yours faithfully,

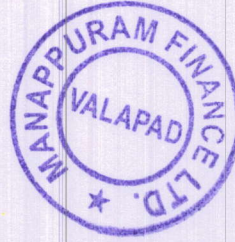
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3.	Name of the Designated Person (DP)  Name of the immediate relative of DP if reporting is for immediate relative.	Mr. Asharaf K M
4.	PAN of the DP  PAN of the immediate relative of DP if reporting is for immediate relative.	AJTPM8273B
5.	Designation of DP	Mr. Asharaf K M- DY. GEN. MGR.
6.	Functional Role of DP	Mr. Asharaf K M- DY. GEN. MGR Corporate Communications
7.	Whether DP is Promoter/ Promoter Group/ holding CXO level position (e.g. CEO, CFO, CTO etc.)	NO
8.	<b>Transaction details:</b>	
	a) Name of the scrip	MANAPPURAM FINANCE LIMITED
	b) No of shares traded (which includes pledge) and value	Sold 2 shares during the window closure period
9.	<b>In case value of trade(s) is more than Rs 10 lacs in a calendar quarter-</b>  a) Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable



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